

Organoids and organ chips in ophthalmology

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1 **Title: Organoids and organ chips in ophthalmology**

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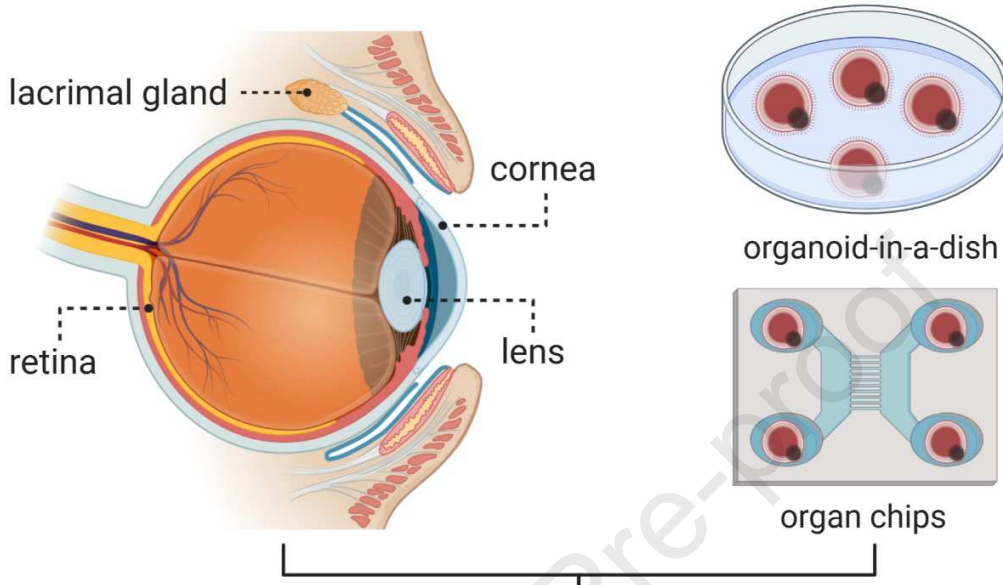
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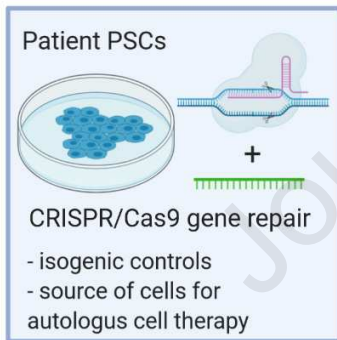
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47 **Graphical Abstract:**

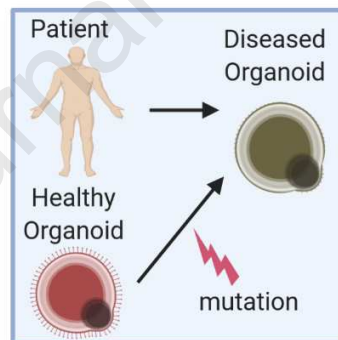
Eye Tissue Organoids and Organ Chips



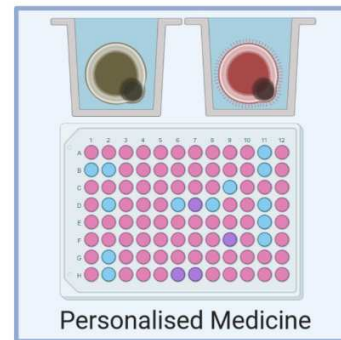
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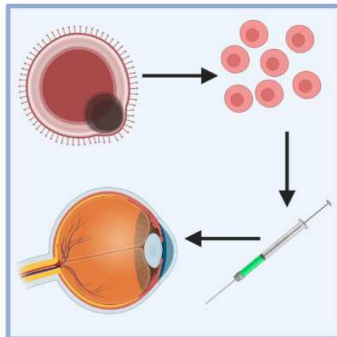
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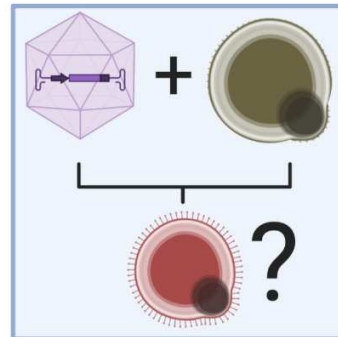
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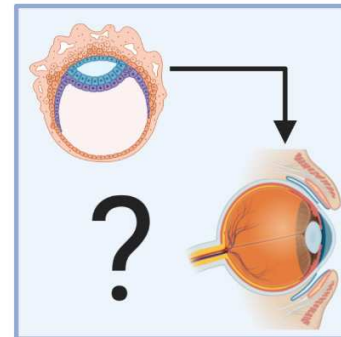
Cell Therapy



Gene Augmentation



Developmental Biology



49 **Abstract:**

50           Recent advances have driven the development of stem cell-derived, self-organizing,  
51 three-dimensional miniature organs, termed organoids, which mimic different eye tissues  
52 including the retina, cornea, and lens. Organoids and engineered microfluidic organ-on-chips  
53 (organ chips) are transformative technologies that show promise in simulating the architectural  
54 and functional complexity of native organs. Accordingly, they enable exploration of facets of  
55 human disease and development not accurately recapitulated by animal models. Together, these  
56 technologies will increase our understanding of the basic physiology of different eye structures,  
57 enable us to interrogate unknown aspects of ophthalmic disease pathogenesis, and serve as  
58 clinically-relevant surrogates for the evaluation of ocular therapeutics. Both the burden and  
59 prevalence of monogenic and multifactorial ophthalmic diseases, which can cause visual  
60 impairment or blindness, in the human population warrants a paradigm shift towards organoids  
61 and organ chips that can provide sensitive, quantitative, and scalable phenotypic assays. In this  
62 article, we review the current situation of organoids and organ chips in ophthalmology and  
63 discuss how they can be leveraged for translational applications.

64

65

66 **Keywords:** Organoid, organ-on-chip, organ chip, microfluidics, ophthalmology, eye, retina,  
67 cornea, lens, lacrimal gland.

68

69 **Highlights**

70

- 71           • Organoids are 3D structures grown from stem cells with organ-level functions.

72

73 • Organ chips have microfluidic channels lined by living human organ-specific cells.

74

75 • Microfluidic organ chips can enhance the significance of organoid models by introducing  
76 absent mechanical, structural and anatomical cues

77

78 • Organoids and organ chips have been used to understand eye physiology and model  
79 ophthalmic disease.

80

81 • Organoids and organ chips can facilitate the evaluation of therapeutics, e.g. drug  
82 screening or gene therapy vectors.

83

84 • Organoids can be used as a source for autologous cell transplantation.

85

## 86 **Introduction**

87 Loss of vision severely affects the quality of life and is one of the most feared health  
88 conditions in society. The demand for basic research on ophthalmic diseases is rising due to the  
89 increasing world population and the increase in life expectancy and subsequent rise in the number of  
90 cases of ophthalmic diseases such as corneal dystrophy (e.g., Fuchs'), dry eye disease, Sjögren's  
91 syndrome, retinitis pigmentosa (RP) and retinoblastoma. Previously, experimental studies have been  
92 performed *in vivo* on animal models (from *Drosophila* and zebrafish to rodents and large mammals)  
93 or *in vitro* cell culture models [1–3]. These models have contributed to ophthalmology in many ways,  
94 including their role in finding basic functional characteristics at the cellular level, modeling eye

95 development, disease pathogenesis, therapy development, and drug screening [4–9]. However, these  
96 studies can suffer from limited clinical translatability, for example, due to species differences in the  
97 tropism of viral vectors or the transcriptome for both protein-coding and non-coding transcripts [10–  
98 12]. The commonly used *in vitro* models often fail to reproduce organ-level functionality, which is  
99 needed for realistic disease modeling. Therefore, more representative models of human eye tissues  
100 are required [13].

101 In response to this need, two new technologies have been recently developed: organoids  
102 and organ-on-chips (organ chips) [13,14]. Organoids are stem cell-derived, three-dimensional  
103 assemblies that consist of organ-specific cell types that self-organize through cell sorting and  
104 spatially restricted lineage commitment, thus mimicking the architectural and functional  
105 complexity of native organs [15]. Organoids are derived from embryonic stem cells (ESCs),  
106 induced pluripotent stem cells (iPSCs), or organ-restricted adult stem cells (aSCs). During stem  
107 cell differentiation, temporal manipulation of the *in vitro* microenvironment through  
108 supplementation with exogenous components such as growth factors, small molecules, and  
109 extracellular matrix (ECM) substrates can simulate conditions of the fetal microenvironment, and  
110 improve organoid differentiation and maturation [15]. Another evolving model is organ chip  
111 technology, which combines the uses of microfluidic technology, biomaterials, and cell culture  
112 techniques to model human-like organs on a micro-scale. Organ chips are microchips designed to  
113 recapitulate the microarchitecture and function of living human organs. Commonly, organ chips  
114 are transparent, contain varying hollow microfluidic channels and cell compartments which can  
115 be lined by living human organ-specific cells. External artificial forces can be applied to mimic  
116 the physical microenvironment of the living organs. Organoids and organ chips have been  
117 developed to model many organs, including the gut, liver, kidney, brain, and more recently, eye

118 structures including the retina, cornea, and lens [16]. In this article, we discuss the use of  
119 organoids and organ chips in ophthalmology. We review the use of these techniques to study eye  
120 development and physiology, disease mechanisms, and the development of diagnostic assays and  
121 therapeutics for personalized medicine.

122

### 123 **Cornea**

124 The cornea is the transparent outermost layer of the eye that is responsible for focusing  
125 most of the incoming light and is thus essential for vision. Over the last decades, efforts have  
126 been made to create in vitro corneal models. In 1993 Minami et al. succeeded in reconstructing  
127 the three layers of the cornea (endothelium, stroma, and epithelium) from cells isolated from  
128 bovine cornea tissues [17]. The three layers were engineered sequentially on top of each other,  
129 with the epithelial layer interfacing a liquid/air matrix. A similar approach was employed to  
130 develop the 3-dimensional corneal model derived from human cells by Germain et al., that  
131 reconstructed corneas by culturing epithelial cells on collagen gels containing fibroblasts [18].  
132 Griffith et al. fabricated a human corneal model employing human corneal cell lines. They found  
133 that their model resembled the human cornea in morphology, transparency, and histology. The  
134 model responded to both stromal swelling and corneal wound healing to a degree similar to post-  
135 mortem cornea [19]. The efforts to develop the 3D cornea model entered a new phase with the  
136 introduction of organoid technology and chip-based modeling. In what follows, we discuss  
137 corneal organoids and cornea chips, and then describe their applications to drug studies.

138

### 139 **Corneal organoids**



140 Corneal organoids are 3D cornea models designed to be suitable for developmental  
141 studies, modeling of some cornea disorders, and possibly organ replacement purposes [20].  
142 Organoids are generated from embryonic stem cells (ESCs) and induced pluripotent stem cells  
143 (iPSCs) that differentiate into various cornea cells [21–24].

144 In a breakthrough study, Foster et al. used human iPSCs to develop corneal organoids.  
145 These organoids contained three cell types of the cornea - epithelium, stromal keratocytes, and  
146 the endothelium. These structural features were characterized using immunofluorescence  
147 staining for epithelial markers (KRT3, KRT14, and p63), stromal keratocytes (CD34), and  
148 endothelial markers (COL8A1, F11R, S100A4). In addition, these organoids consisted of  
149 extracellular matrix collagens and proteoglycan core proteins which are essential components of  
150 the stromal matrix. TEM and immunofluorescence staining showed different matrix proteins and  
151 organized protein fibrils in different layers of the organoids. While the outer layers contained  
152 perlecan and collagen type VIII, the deeper layers stained for stromal proteins LUM and KERA,  
153 Collagen types I and V [25].

154 Shortly after the development of corneal organoids by Foster et al. a new protocol was  
155 developed by Susaimanickam et al., which generated, using a simpler and more efficient culture  
156 method, more complex 3D corneal organoids and even, in rare cases, whole eyeball-like  
157 structures. In this protocol, either human ESCs or human iPSCs are cultured *in situ* in retinal  
158 differentiation medium (RDM), with the absence of noggin. After four weeks in culture, the stem  
159 cells had differentiated in eye field primordial clusters (EFPs). They found that continued *in situ*  
160 differentiation of these EFPs in RDM led to the formation of lens epithelial clusters, ocular  
161 surface epithelium, and optic cups. Interestingly, they found that in rare cases the EFPs gave rise  
162 to 3D miniature eyeballs, including an anterior transparent cornea primordium surrounded by the

163 neuroretinal cup. At two weeks of suspension culture, the EFPs gave rise to both retinal and  
164 corneal primordia. At this point, the corneal primordia regions were lifted and cultured in the  
165 corneal differentiation medium (CDM) for further maturation. Six to eight weeks after culturing  
166 the corneal primordia gave rise to minicorneas. Subsequent examination of these minicorneas  
167 showed prevalent corneal morphological structures and expressed cornea-specific markers [26].

168 These corneal organoids open up possibilities for multiple downstream applications that  
169 are unattainable using current *in vitro* culture systems.

170

### 171 Cornea chip

172 Engineering cornea mimics on chips is still at its infancy. A few steps have been taken  
173 towards modeling cornea; despite their simplicity, current cornea chips are already able to  
174 recapitulate certain disease features. Puleo et al. made the first attempt to culture cornea cells in  
175 microfluidic devices in 2009 [27]. They succeeded in developing a bilayer structure containing a  
176 corneal epithelial layer and a layer of stromal cells on a collagen vitrigel substrate. The model  
177 was then used to measure transepithelial permeability. Nearly a decade later, Bennet et al.  
178 developed a cornea chip that included epithelial layers, basement membrane, and Bowman's  
179 membrane, and importantly, they simulated tear flow dynamics in their microfluidic device [28].  
180 They used immortalized human corneal epithelial cells (hTCEpi) and cultured them on a  
181 Polydimethylsiloxane (PDMS) membrane. They found that cells seeded on a fibronectin-coated  
182 membrane were more viable compared to uncoated or collagen-coated membranes. The  
183 permeability of the epithelium underflow closely resembled *in vivo* conditions.

184 A significant breakthrough in the development of an ocular surface chip was the chip  
185 development by Seo et al. that integrated both cornea and conjunctiva structures in one platform

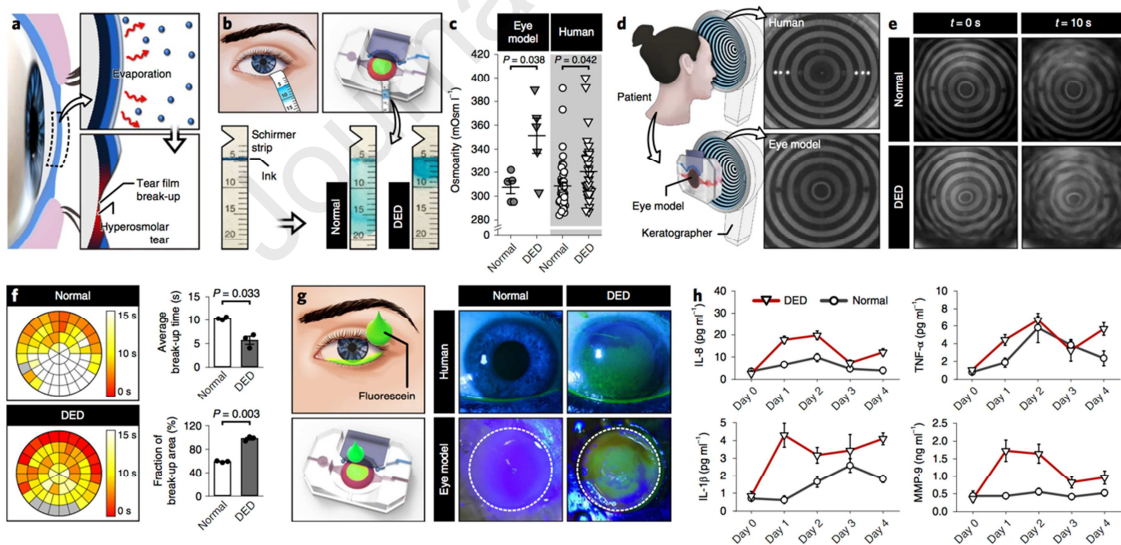
186 and interfaced them with a blinking eyelid [14]. They developed a dome-shaped scaffold with a  
187 perfusion system and an eyelid mimic that is actuated to slide on the scaffold. The cornea mimic  
188 included epithelial cells with primary human keratocytes embedded in a hydrogel to mimic the  
189 stroma. Using a 3D patterning approach, concentric patterns of the conjunctiva (including  
190 epithelial and goblet cells) and cornea structures were fabricated. The structure, however, lacked  
191 vasculature and immune cells that are present in the conjunctiva *in vivo*.

192 The ocular surface chip developed by Seo et al. recapitulated important anatomical and  
193 physiological properties of human counterparts [14]. Stratified epithelium in this device is  
194 composed of 7-8 layers of epithelial cells (similar to *in vivo* conditions) as well as a layer of cells  
195 expressing basal cell-specific marker (p63). Similarly, the engineered conjunctiva contained  
196 multiple epithelial layers and showed the expression of key biochemical markers. Importantly,  
197 the tissue could produce mucin proteins. An essential aspect of this design is that the model  
198 incorporates key mechanical processes including blinking with physiological frequencies (e.g.,  
199 0.2 Hz) as well as tear film dynamics. The tear secretion rate of the device was properly tuned to  
200 its natural values, and a drainage system for tear excess was designed. This ensured the  
201 maintenance of a very thin and uniform tear film of approximately 6  $\mu\text{m}$  thickness (within the  
202 range of *in vivo* values), as shown by optical coherence tomography. The blinking process was  
203 shown to be noninvasive to the ocular surface and did not lead to scarring. The mechanical shear  
204 was shown to promote cellular differentiation in the epithelial layer, indicating that the eyelid is  
205 more than just a “lid” and the mechanical forces are sensed and responded to by the cellular  
206 biochemical network.

207 Ocular surface chips remained to be adapted and used as disease models. The only major  
208 development in this direction was the engineering of a Dry Eye Disease (DED) model on the

209 platform developed by Seo et al. [14]. By reducing the frequencies of blinking from 12 to 6 times  
 210 per minute and adjusting the humidity of the environment allows for the simulation of an  
 211 evaporative DED model. Reduced blinking frequency leads to changes in tear osmolality and  
 212 film instability, as shown by break-up time estimation. Importantly, these DED related  
 213 biomechanical changes led to cellular changes seen in DED *in vivo*. For example, inflammatory  
 214 cytokines such as interleukin 1 $\beta$ , TNF $\alpha$ , and matrix metalloproteinase (MMP)-9 were  
 215 overexpressed upon the reduction of blinking frequency in the chip. Overall, this proof-of-  
 216 concept study demonstrates that the chip model can capture the mechanical and biochemical  
 217 features of DED (Fig. 1). These results are highly promising and set the stage for the  
 218 development of chip-based models for other ocular surface diseases. For this aim, one may have  
 219 to incorporate additional complexities e.g. the immune system and vasculature.

220



221

222 *Figure 1: Dry eye disease chip. a) Evaporation induced break-up of the tear film and increase of*  
 223 *tear osmolality together lead to a loss of homeostasis. b) Absorption of tears into the Schirmer*  
 224 *strips in the healthy and DED models. Tear absorption is visualized by the smearing of the blue*

225 ink within the strips. c) Tear osmolarity in the DED (closed triangle) and the healthy (closed  
 226 circle) models. Human clinical data of osmolarity are from healthy (open circle) and DED  
 227 subjects (open triangle). d) Keratographs showing concentric rings projected on the human  
 228 ocular surface (top) and the engineered ocular surface (bottom). e) Representative images of  
 229 projected ring patterns on the engineered ocular surface of the healthy (top row) and the DED  
 230 (bottom row) groups captured at  $t = 0$  s (left column) and  $t = 10$  s (right column). f) Spatial  
 231 mapping of tear film break-up time in the normal (top) and the DED (bottom) models. Different  
 232 colors in the representative circular heat maps indicate different tear break-up times. g)  
 233 Fluorescein staining of the eye model and human subjects. h) Concentrations of inflammatory  
 234 mediators (IL-8, TNF- $\alpha$ , IL-1 $\beta$ , and MMP-9) in the normal (circle) and the DED (triangle)  
 235 groups plotted against the duration of culture. The figure is taken from Jeongyun Seo et al. with  
 236 permission [14].

237

### 238 Applications to drug studies

239 Cornea chips have been used to study pharmacokinetics and preclinical drug evaluation.  
 240 Bennet et al. performed a drug study on their model with Pred Forte (Prednisolone 1%) and  
 241 Zaditor (Ketotifen) to assess the functionality of their model in drug permeability [28]. They  
 242 found that the pulsatile tear flow had the most similarity to the human eye than the continuous  
 243 flow or static condition [28]. Their findings were correlated with the formulation of the drug and  
 244 mode of the action of the chip (static or with the flow) so that it would be a representative model  
 245 of the human cornea *in vitro* [28]. Unfortunately, pharmacological immunomodulation ocular  
 246 surface cannot be accurately mimicked using current chip designs, as these designs lack the  
 247 immune system. However, nonimmunological processes can be drugged effectively in chip

248 models of ocular surface inflammatory diseases. An example of such a study is the analysis  
249 conducted on the DED model of Seo et al. [14]. They exposed the DED model to endogenous  
250 lubricin to assess whether the findings of this in vitro model are comparable with clinical  
251 outcomes. The results not only showed increased break-up time and decreased area of the film  
252 tear rupture but also changed corneal fluorescein staining resembling what was found in the  
253 clinical trials. This analysis shows the values of cornea chips, as these “clinical” measurements  
254 are now possible at the preclinical stages of drug analysis, thanks to the chip technology.  
255 Furthermore, the DED model was used to discover the therapeutic effect of lubricin at the  
256 molecular scale. Concentration profiles of inflammatory factors such as toll-like receptor-4  
257 (TLR-4) of IL-8, TNF- $\alpha$ , IL-1 $\beta$ , and MMP-9 can be measured in the tear film. The DED model  
258 showed a marked decrease in inflammatory markers upon lubricin administration, in agreement  
259 with the findings of clinical trials.

260 One important issue in drug assays on cornea chips relates to drug permeation and accessibility  
261 to ocular surface layers. Bai et al. created a cornea chip model to determine dextran diffusion  
262 permeability across the corneal barriers [29]. They used dextran with several distinct molecular  
263 weights ranging from 10kDa to 70kDa to simulate drug diffusion across cornea layers and to  
264 measure permeability. They found that epithelium is a major determinant of drug transportation  
265 rates. Future studies are needed to investigate the translational value of these drug transport data.  
266 This is because the proof-of-concept analysis by Bai et al. was based on mouse cornea cells and  
267 did not include the cellular complexity of human cornea and its collagen content precisely.

268

269 **Lacrimal glands**

270 The lacrimal glands (LGs) secrete tear fluids, which contain water, electrolytes, and  
271 various secreted substances to the ocular surface. Tears play physiologically important roles in  
272 maintaining the homeostatic environment on the ocular surface epithelium, such as lid  
273 lubrication, hydration, antimicrobial activity, and protection of the ocular surface epithelium.  
274 Tear shortage from LGs, which is induced by aging and various pathological conditions, causes  
275 dry eye disease (DED). DED is defined at TFOS/DEWS II as a multifactorial disease of the  
276 ocular surface characterized by a loss of homeostasis of the tear film, and accompanied by ocular  
277 symptoms, in which tear film instability and hyperosmolarity, ocular surface inflammation and  
278 damage, and neurosensory abnormalities play etiological roles. Recently, a therapeutic concept  
279 of functional lacrimal gland restoration using regenerative medicine, including organoids from  
280 pluripotent stem cells, has emerged as a possible way to treat severe DED.

281

### 282 **Lacrimal gland organoids**

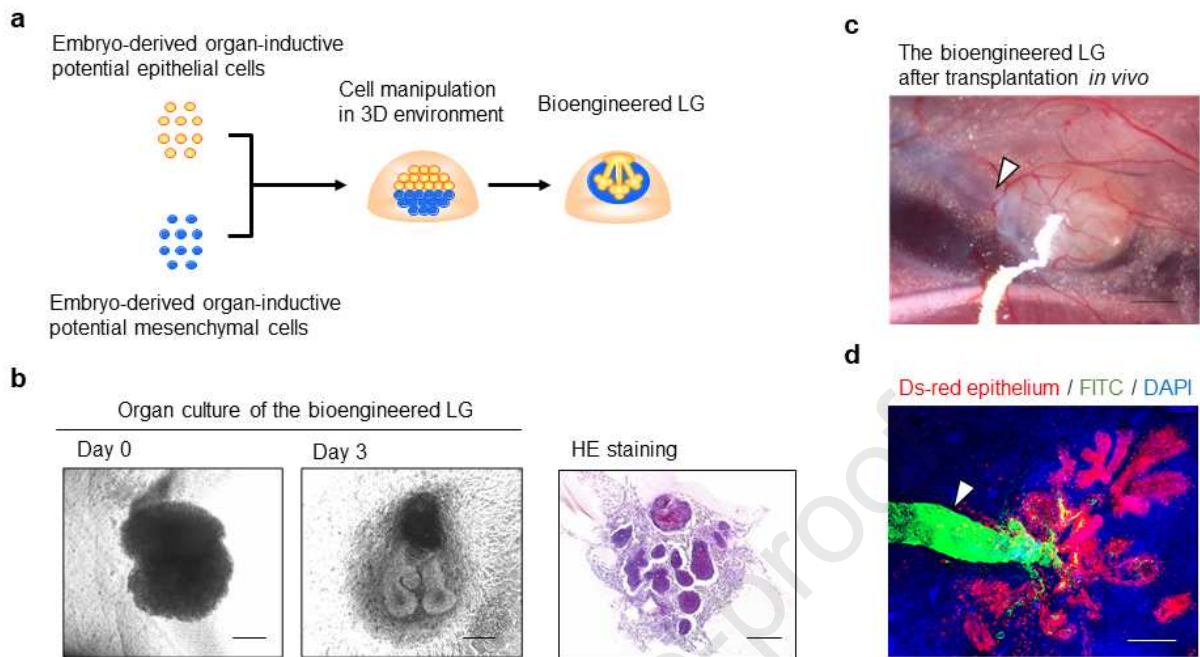
283 One of the challenges for the lacrimal gland regeneration is to reconstruct secretory gland  
284 structures and coordinated organ systems with peripheral tissues, such as nerves and blood  
285 vessels, because organogenesis requires a complex process involving tissue self-organization,  
286 cell-cell interactions, signaling with various molecules for correct cell differentiation to form  
287 organs [30][31]. A method in biotechnology based on knowledge from developmental biology  
288 for 3D stem cell manipulation *in vitro*, which is designated to mimic organ germ formation  
289 during organogenesis, allowed us to generate bioengineered organ germs, including teeth, hair  
290 follicle, and salivary glands and LGs, by using embryo-derived organ inductive stem cells (Fig.  
291 2a) [32][33][34][35][36][37]. The bioengineered lacrimal gland germs, which were generated  
292 with compartmented cells of epithelial and mesenchymal stem cells isolated from mouse lacrimal



293 gland germs in a collagen gel, initiate developmental processes involving the self-organization  
294 and multiple cellular assembling to form tubule-alveolar structures *in vitro* (Fig. 2b). The  
295 bioengineered lacrimal gland germs achieve mature lacrimal gland structures and recapitulate  
296 their connection with peripheral tissues and innervation for fully tear-secretion functions after  
297 orthotopic engraftment *in vivo* (Fig. 2c, 2d). As reported in the bioengineered teeth regeneration  
298 study, the development of a method to mature the bioengineered lacrimal gland germs *in vitro*,  
299 which can immediately function the bioengineered organs *in vivo*, will contribute to lead future  
300 organ replacement therapy for DED [34]. These studies have demonstrated that the functional  
301 bioengineered lacrimal glands for organ replacement therapy can be achieved by reproduction of  
302 the developmental process of LGs in organogenesis.

303 An organoid as a partially functional mini-organ can be generated by using specific  
304 developmental gene expression and cytokine signaling, which induce self-organized body  
305 patterning and subsequent organ-forming field from pluripotent stem cells.  
306 [38][39][40][41][42][43]. Recently, fully functional salivary glands from mouse ES cells have  
307 been generated through the induction of organ-forming field (the oral ectoderm; for the salivary  
308 glands) with the expression of specific genes for salivary gland development [44]. In the field of  
309 the lacrimal gland regeneration, a set of transcription factors, which plays a role in the lacrimal  
310 gland development, has been clarified to induce the organ-forming field and signaling for the  
311 lacrimal gland organoids [45][46]. As well as the possibility of tissue stem cell injection therapy  
312 reported previously [47], the further development of this method of organoid technology for LGs  
313 will contribute to the realization of lacrimal gland regenerative medicine.





314

315 *Figure 2. Development of the bioengineered lacrimal glands in vitro and in vivo. (a)*

316 *Functional lacrimal gland regeneration using mouse embryo-derived organ-inductive stem cells*

317 *in the 3D culture environment. (b) Organogenesis of the bioengineered lacrimal glands with*

318 *branching morphogenesis in vitro (left and center panels) and an image of HE staining of the*

319 *bioengineered lacrimal gland (right panel). scale bar, 200  $\mu$ m. (c) Functional lacrimal gland*

320 *replacement after orthotopic transplantation in vivo. Arrowhead shows the connection between*

321 *the host lacrimal excretory duct and the bioengineered lacrimal gland. Scale bar, 500  $\mu$ m. (d)*

322 *The bioengineered lacrimal glands developed with full functionality. Ds-red expressing*

323 *epithelium achieved acini and duct structures. FITC gelatin (green), which was injected from the*

324 *host lacrimal excretory duct, reached to the bioengineered lacrimal gland. Arrowhead shows the*

325 *connection between the host lacrimal excretory duct and the bioengineered lacrimal gland. Scale*

326 *bar, 200  $\mu$ m. The figures were reprinted from [48].*

327

328 **Lacrimal gland chips**

329 Various innovations in bioengineering have been applied to regenerate functional organs to treat  
330 or modeling diseases. For example, artificial regulation of tear secretion from LGs by stimulating  
331 neural pathways using medical devices has been proposed [49]. To generate complex secretory  
332 gland structure, previous reports have used biohybrid materials, such as a decellularized scaffold,  
333 for a successful 3D reconstruction of LG [50][51]. Other studies have reported the *in vitro*  
334 models of several components of the ocular surface, such as conjunctival tissues and lacrimal  
335 gland epithelium [52], individually [53]. The 3D culture environment, including microgravity  
336 cell culture and Matrigel culture methods, has been a key to investigate the lacrimal gland  
337 function and DED [54][55][56]. A tissue engineering technology for reconstruction of a complex  
338 3D organ system (LGs-tear film-ocular surface) has been attempted to build up *in vitro* disease  
339 modeling of DED [57]. These studies have indicated that it is effective to create functional tissue  
340 mimics, which use a co-culture system of various tissues of tear film-ocular surface system  
341 including the lacrimal gland spheroids and conjunctival epithelium, as a model for DED and  
342 therapeutic evaluation. The next-generation research of the lacrimal gland regenerative medicine  
343 using organoids and organ chips is now expanding as a viable model based on recent exponential  
344 advances in developmental biology, stem cell biology, and tissue engineering technology.

345

## 346 **Retina**

### 347 **Retinal organoids**

348 The first retinal organoids (ROs) were made from mouse (m)ESCs [13,58]. The Sasai  
349 laboratory found that the development of the optic cup is a self-directed process and does not  
350 rely on influence from external structures. Their model had the six major types of neurons and  
351 one glial cell type of the retina and could recapitulate many aspects of retinal function, including

352 morphogenetic movements, interkinetic nuclear migration, and apical-basal polarity [13,58].  
353 Although their model was not perfect in recapitulating all facets of the adult mouse retina,  
354 including a low percentage of cone photoreceptors, it was a milestone in generating more  
355 advanced retinal models that recapitulated *in vivo* characteristics of the mouse retina. Further  
356 optimization of their protocol enabled the generation of human (h)ESC-derived ROs for the first  
357 time (Nakano et al.) [40]. Compared to the stem cell-derived RO mouse model, the retina derived  
358 by this method had longer culture time, which is due to the inherent differences in gestational  
359 periods between species. The methodology used was based entirely on a 3D approach relying on  
360 extrinsic modulation of cell signaling pathways. Starting from a single cell suspension of hESCs,  
361 the cells are seeded in equal numbers in V-bottomed wells of a 96-well plate and undergo quick  
362 aggregation to form embryoid bodies (EB). EBs undergo neural induction and form optic  
363 vesicles, which are subsequently kept in suspension culture for maturation to laminated ROs  
364 [40]. The main alternative to this methodology, popularized by Zhong et al., requires far less  
365 extrinsic modulation of cell signaling pathways and proceeds in a mostly self-directed process.  
366 Here, hiPSCs are grown to near confluence and made into small floating aggregates, either  
367 chemically or mechanically, in suspension to form EBs which undergo neural induction.  
368 Subsequent plating of EBs allows for the formation of the retinal neuroepithelium, which can be  
369 individually dissected from the bottom of the well, or alternatively, the whole contents of the  
370 well can be lifted after mechanically scoring the well in a grid pattern. This is followed by the  
371 sorting of ROs for long term suspension culture where they undergo lamination and maturation  
372 [59,60]. An alternative to this approach is to let hiPSCs grow to confluence and, instead of  
373 generating small floating aggregates, remain as adherent cultures. Removal of FGF2 from the  
374 culture medium initiates spontaneous differentiation which is followed by the promotion of

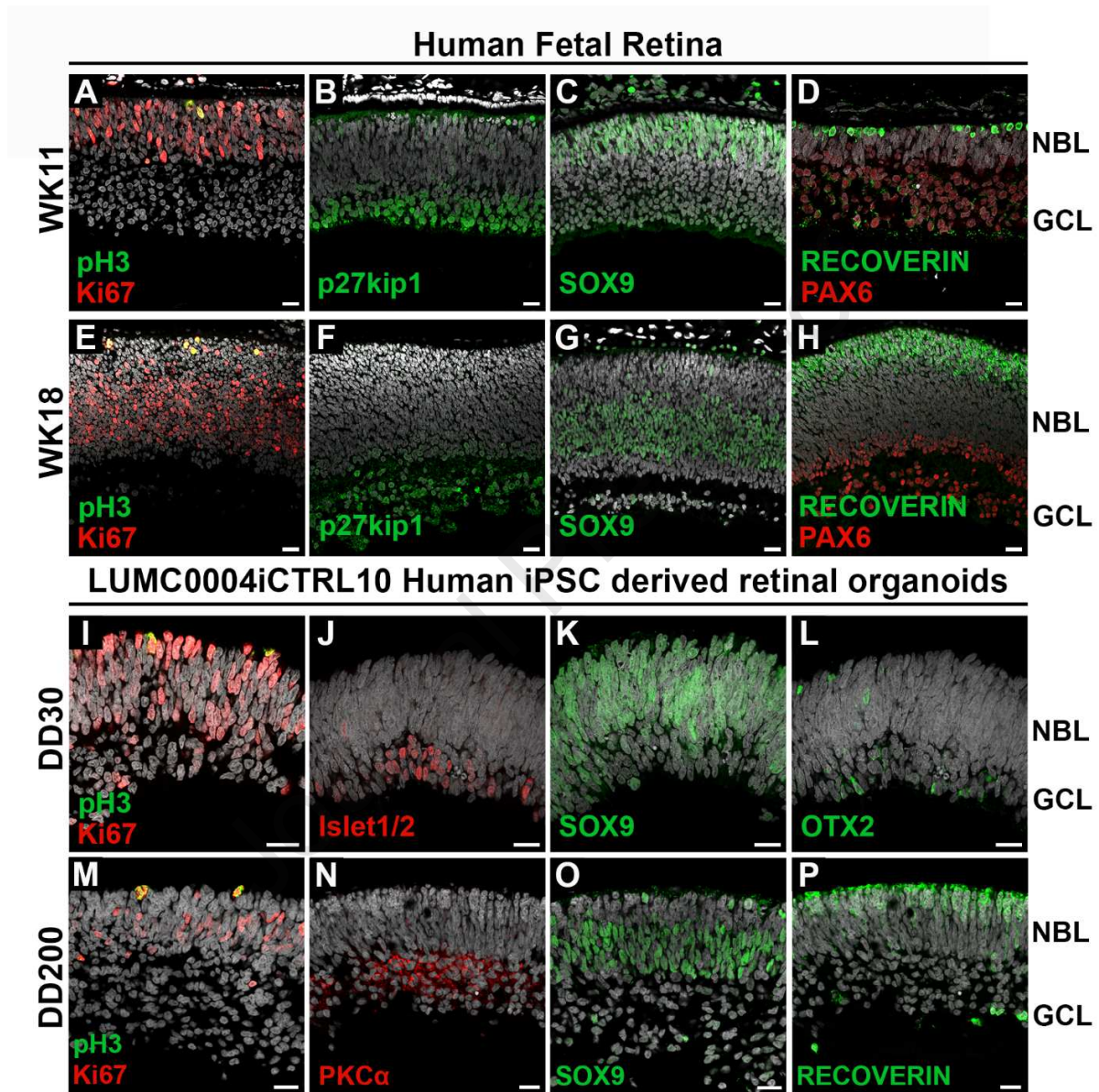
375 neural induction and retinal neuroepithelium formation [61,62]. The methodology by Zhong et  
376 al. was the first to show fully laminated 3D iPSC-derived retinal tissue which also yielded more  
377 developed outer-segment structures that responded to light stimuli [59]. A third methodology by  
378 Lowe et al. used small aggregates of hESCs embedded in Matrigel to form single-lumen  
379 epithelial cysts that subsequently adhere to the culture surface forming colonies of retinal  
380 progenitors. Dispase treatment promoted lifting of these colonies, which in floating suspension  
381 culture formed laminated and maturing retinal organoids [63]. The use of hESCs and hiPSCs  
382 has been successfully implemented using all three methodologies, and continued modifications  
383 and optimizations are being implemented [40,59,60,64–68]. The retinal architecture of the  
384 human fetal retina is recapitulated in hiPSC retinal organoids (Fig. 3).

385 Organoids are useful for understanding the developmental physiology of the retina and its  
386 underlying transcriptomic mechanisms. Here, we provide some examples of RO implementation  
387 for these purposes. Previously, an essential role of thyroid hormone signaling on cone cell  
388 viability and opsin expression has been established. However, the exact mechanism of cone cell  
389 differentiation was not known [69]. Retinal organoids have been implemented to further  
390 elucidate this mechanism. Eldred et al. found that the retina plays an active role in specifying S  
391 or L/M cone subtypes through the temporal expression of thyroid hormone degrading and  
392 activating proteins. In early retinal development, thyroid hormone levels are kept low which  
393 initiates differentiation to S cones, later in development thyroid hormone levels rise to specify L  
394 and M cone cells [70].

395 The organoid models can be used for studying the effect of different genes in eye  
396 formation and neuroretina differentiation. Takata et al. studied the effect of *R-spondin 2* (*Rspo2*)  
397 and *Sine oculis*-related homeobox 3 (*Six3*) genes [71]. They used *Six3*<sup>-/-</sup> miPSC-derived and *Six3*



398 conditional knockout (CKO) mESC-derived organoids, finding that Six3-mediated suppression  
 399 of *Rspo2* is necessary for neuroretina differentiation and optic vesicle morphogenesis [71].



400

401 *Figure 3. Retinal architecture in the human fetal retina and human iPSC retinal*402 *organoids. Immunohistochemistry pictures of WK11 (A-D) and WK18 (E-H) human fetal retina*403 *and DD30 (I-L) and D200 (M-P) LUMC0004iCTRL10 hiPSC-derived retinal organoids.*404 *Sections were stained with antibodies against: Ki67 (A, E, I, M), pH3 (A, E, I, M), p27kip1 (B,*

405 *F), SOX9 (C, G, K, O), Recoverin (D, H, P), PAX6 (D, H), Islet1/2 (J), PKC $\alpha$  (N), OTX2 (L). In*  
406 *human fetal retina at week 11 in the 1st trimester of pregnancy, we observed cycling cells that*  
407 *stained positive for anti-Ki67 and spanned the thickness of the neuroblast layer (NBL). The*  
408 *mitotic cells located most apically and stained positive for pH3 (A). Inner retinal cells as marked*  
409 *by p27kip1 and PAX6 were restricted to the ganglion cell layer and a subset of cells in the NBL*  
410 *(B and D). The cells that exited the cell cycle were marked with p27kip1, whereas the ganglion*  
411 *cells, amacrine cells, and migrating retinal progenitors were marked with PAX6. Radial glial*  
412 *progenitor cell nuclei spanned the thickness of the NBL and stained positive for anti-SOX9 (C).*  
413 *Newborn cone photoreceptors marked positive for anti-recoverin (D). In the human fetal retina*  
414 *at weeks 18 in the 2nd trimester of pregnancy, we observed the localisation of anti-pH3-positive*  
415 *mitotic cells most apically within the NBL (E). However, the cycling anti-Ki67-positive cells*  
416 *became mostly restricted to the middle NBL cells but also labeled occasional outer NBL cells*  
417 *(E). Both p27kip1- and PAX6-positive cells restricted to the inner NBL and the ganglion cell*  
418 *layer (F, H). SOX9-positive cell nuclei localised in the middle NBL and occasionally the outer*  
419 *NBL, marking maturing Müller glial cells (G). The outer NBL showed an increase in recoverin-*  
420 *positive photoreceptors at week 18 compared to week 11 (H). In early DD30 hiPSC retinal*  
421 *organoids we observed that Ki67-positive cycling cells spanned the thickness of the NBL with*  
422 *pH3-positive mitotic cells located most apically (I). Ki67-positive cycling cells were also*  
423 *detected in the ganglion cell layer (GCL). Islet1/2-positive cells were found mostly restricted to*  
424 *the GCL with sporadic cells in the NBL (J). SOX9-positive radial glial progenitor cell nuclei*  
425 *spanned the thickness of the NBL but were also seen occasionally in the GCL (K). Immature*  
426 *photoreceptors that stained positive for anti- OTX2 could be found in both the NBL and GCL*  
427 *(L). In later DD200 hiPSC-derived retinal organoids Ki67-positive cycling cells restricted*

428 *mostly to the middle NBL but occasionally were detected in the outer NBL and in the GCL,*  
 429 *whereas pH3-positive mitotic cells located apically (M). In the inner retina PKC $\alpha$ -positive*  
 430 *bipolar cells (N) were detected. SOX9-positive cell nuclei became more restricted to the middle*  
 431 *NBL but occasionally were detected in the outer NBL and the GCL (O). Recoverin-positive*  
 432 *photoreceptor cells were mostly restricted to the outer NBL. Some recoverin-positive cells were*  
 433 *detected within the NBL and occasional recoverin-positive cells were detected in the GCL (P).*  
 434 *Scale bars: (A-P), 20 $\mu$ m. Adapted from Quinn et al. 2019[72] under a creative commons license.*

435

436 Capowski et al. studied the expression pattern of microphthalmia-associated transcription  
 437 factor (MITF) during differentiation in their hESC-derived optic vesicle model. MITF is a vital  
 438 regulator of pigmented cell survival and differentiation. They found that in addition to the role of  
 439 MITF in retinal pigment epithelium (RPE) development, it is also crucial for early optic vesicle  
 440 cell proliferation.[73] Quinn et al. used hiPSC-derived ROs and human fetal retina to investigate  
 441 the onset of expression of CRB1 (Crumbs homolog-1) and CRB2 during development and early  
 442 maturation of the retina [72]. Mutations in CRB1 are associated with a spectrum of retinal  
 443 dystrophies including RP type 12 and LCA type 8 [74–78]. They found that during the first  
 444 trimester, CRB2 is the predominant CRB family member. In contrast, the onset of expression of  
 445 the canonical CRB1 protein at the subapical region coincides with the maturation of the retina  
 446 during the second trimester. This pattern of CRB1 and CRB2 expression was recapitulated in  
 447 ROs [72]. To verify that retinal ganglion cells (RGCs) can be identified by the expression of  
 448 specific surface antigens. Aparicio et al. compared surface antigen expression patterns in the  
 449 human fetal retina and hESC-derived ROs. They found that CD184 and CD171 were expressed  
 450 in RGCs from both tissues and that early post-mitotic RGCs express high levels of CD184 while

451 CD171 expression is found in maturing RGCs. These cell surface markers could then be used  
452 to purify the RGC population using flow cytometry [79]. RGCs derived from ROs can be used as  
453 an effective platform to investigate RGC development, organization, and neurite outgrowth [80].

454 ROs have been used to profile the dynamic transcriptional landscape of retina from early  
455 progenitors to differentiated retinal cell types [81–83]. These transcriptomic data have been  
456 achieved by using different reporter lines, each assessing a specific type of cell in the human  
457 retina. Hereby nine different clusters of cells have been observed during the differentiation of  
458 ROs. It has been found that mitotic cells and RGCs decrease over time, rod and cones are formed  
459 afterward, and Müller glia increases towards day 200 [84]. Neural retina leucine zipper (NRL) is  
460 a gene that has a critical role in rod photoreceptor formation, and patients with NRL mutations  
461 exhibit rod degeneration enhanced S-cone syndrome and RP. ROs of null NRL models have also  
462 been developed to study the cone photoreceptor development in human-derived ROs [85]. These  
463 models can also be useful to assess the transcription factors involved in photoreceptor  
464 development.

465 Lastly, in addition to radial glial progenitors, a peripherally located stem cell-like niche  
466 called the ciliary marginal zone (CMZ) can contribute to the production of postmitotic cells to  
467 the retina in mammals.[76,86–88] The Sasai laboratory used an induction reversal method to  
468 generate human ROs with RPE, which contained a CMZ at the boundary between these two  
469 tissues. This region contained CM-like stem cells, which were able to contribute to retinal  
470 expansion by *de novo* generation of retinal progenitors.[89] Further exploration of the  
471 mechanisms underlying CM-like stem cells will help advance ocular regenerative therapeutics.

472 **Retinal Organoids and disease modeling**



473 In recent years, retinal organoid models of various eye diseases have been created. These  
 474 models can be either used for studying the underlying pathomechanisms as well as for therapeutic  
 475 purposes. Table 1 summarizes some RO models of retinal diseases that have been developed thus far.  
 476 However, some questions are arising regarding the further applicability of organoid models for  
 477 disease modeling. Points to consider include whether the developmental “age” of the ROs  
 478 corresponds to when the patient would get disease onset typically, or whether the culturing  
 479 microenvironment exacerbates disease characteristics in organoid culture are concerns that need to be  
 480 addressed in the upcoming studies. Modeling of the late-stage disease will be a particular challenge  
 481 due to the immaturity of ROs even after long-term cell culture, the addition of stressors to induce  
 482 aging phenotypes should be explored. Furthermore, ROs are still relatively naive structures that lack  
 483 optic nerve, retinal vasculature, and microglia.

484

485 **Table 1**

Disease	Gene and mutation	Organoid features
	<b>CEP290</b>	
	[90,91]c.2991+1655A>G	Aberrant splicing, impaired ciliogenesis, reduced
<b>LCA</b>	homozygous mutation[90]	cilia incidence [90]
	Two patients heterozygous for IVS26+1655A>G and c.5668G>T mutations. One patient homozygous for IVS26 and 1655A>G [91]	Abnormal ciliogenesis, docked mother centriole and were only observed in optic cups derived from CEP290 LCA patients [91]

<b>RPE65</b> [92] c.200T>G and c.430T>C	All patient lines could generate well-layered ROs, which contained rods and cones that had inner segments and rudimentary outer segments. However, no photoreceptor phenotype was observed. In patient RPE cells, which were generated simultaneously with ROs, a significant decrease in RPE65 expression was found. No differences in biological function, as measured by POS phagocytosis and VEGF secretion, was found in patient iPSC derived RPE compared to control.
<b>AIPL1</b> [93] c.265 T > C homozygous mutation	Despite a reduction in both AIPL1 and PDE6B being found in patient ROs no retinal degeneration was detectable. Normal ultrastructural findings, expression of mature photoreceptor markers and similar gene expression profiles, except <i>NEUROD6</i> a transcription factor involved in amacrine cell subtype specification, were found between control and AIPL1-LCA ROs.
<b>RPGR</b> [94] c.1685_1686delAT, c.2234_2235delGA, c.2403_2404delAG mutations	Significant defects in photoreceptors, including decreased photoreceptor cell number, cilia length, and expression of photoreceptor-related genes, were found between control and patient ROs.

## RP

When the electrophysiological properties of patient rod-like cells were examined compared to controls they were found to have a reduction in hyperpolarization-activated potassium current. This along with expression data highlighted a deficit in HCN channels.

**CRB1** [72]

Patient 1:  
c.3122T > C homozygous;  
Patient 2:  
2983G>T and c.1892A>G;  
Patient 3:  
c.2843G>A and c.3122T>C

Three hiPSC lines derived from *CRB1* RP patients, when differentiated into ROs, showed a phenotype of disruptions at the outer limiting membrane (OLM), as demonstrated by ectopic photoreceptor nuclei above the OLM. The degenerative retinal phenotype of *CRB1* RP patient hiPSC derived ROs was similar to that previously found in mice expressing variant *CRB1*<sup>C249W</sup> or lacking *CRB1*. [95,96]

**USH2A** [97]

c.8559-2A > G  
and c.9127\_9129delTCC

Significant defects were identified from an early stage in patient compared to control ROs including delayed retinal self-organization, abnormal retinal neuroepithelium differentiation, and defective retinal progenitor cell development. A reduced diameter and thickness of the retinal neuroepithelium was found along with an increase in expression of apoptosis related genes

in patient ROs. Additionally, a decrease in retinal cells including photoreceptors, Müller and amacrine cell were found in patient ROs. Other RO defects included aberrant basement membrane and tight junctions. Further gene ontology analysis identified differentially expressed gene enriched for categories involved in calcium signaling, retinal layer formation, dopaminergic synapse and vesical transport.

<b>Autosomal-dominant RP</b>	<p><b>PRPF31</b> [98]</p> <p>Three related RP type 11 patients with c.1115_1125del11 heterozygous mutation.</p> <p>One patient with severe RP with c.522_527+10del heterozygous mutation.</p>	<p>Progressive degenerative features were found in ROs with TEM showing patient photoreceptors had an increase in apoptotic nuclei and the presence of stress vacuoles compared with controls. Additionally, a significantly reduced response was found in patient ROs to the neurotransmitter GABA. Patient ROs also exhibited impaired pre-mRNA splicing and had an increase in differentially expressed genes in gene ontology categories relating to the actin cytoskeleton, ciliary membrane, primary cilium, photoreceptor inner and outer segments, axon terminals and phototransduction. In accordance</p>
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with this defective photoreceptor cilia were found in patient ROs.

<b>Late-onset RP</b>	<b>PDE6B</b> [65]		Patient ROs before DD180 exhibited relatively
	c.694G>A	homozygous	normal retinal development as compared with
	mutation.		controls. However, patient ROs at DD230 were
			found to have defective rod cell migration.
			Furthermore, gene ontology analysis found an
			enrichment of gene implicated in G-protein-
			coupled receptor activity, G-protein-coupled
			receptor signaling pathway and calcium ion
			binding in patient ROs. Additionally, an increase
			in cGMP levels were found in patient ROs,
			which may have led to the impaired formation of
			synaptic connections and the decrease in
			photoreceptor cilia found in patient ROs.
<b>X-linked</b>	<b>RP2</b> [99]		RP2 patient-derived ROs exhibit a spike in cell
<b>retinitis</b>	R120X nonsense mutation		death in the ONL at DD150, with a subsequent
<b>pigmentosa</b>	c.358C > T		thinning of the ONL detectable at DD180. The
<b>(XLRP)</b>			onset of cell death coincides with the timing of
			rod cell maturation and rhodopsin expression. In
			accordance with the thinning ONL, a reduction in
			the number of rhodopsin positive cells in the

		ONL was detected at DD180.
<b>Glaucoma</b>	<b>OPTN</b> [100] E50K missense mutation	Early stages of retinal differentiation in ROs were unaffected by the OPTN(EK50) mutation. However, at later stages of maturation, retinal ganglion cells from derived from patient iPSCs exhibited neurite retraction, increased excitability, dysfunctional autophagy, as marked LC3 accumulation, and an increase in apoptosis of the inner retinal organoid.
<b>X-linked Juvenile Retinoschisis (XLRS)</b>	<b>RS1</b> [101] Patient 1: c.625C>T Patient 2: c.488G>A	Retinal splitting, outer-segment defects, abnormal paxillin turnover, defective retinoschisin production, impaired ER-Golgi transportation, defective photoreceptor connecting cilia, and altered expression of retinopathy-associated genes including IQCB1 and OPA1, which are associated with LCA and autosomal dominant optic atrophy, respectively).
<b>Retinoblastoma</b>	<b>RB1</b> [103]  RB1-null mutations[103]	RB1 was found to be abundant in retinal progenitor cells and become downregulate during maturation of ROs. Loss of RB1, in RB1-null

ROs, promoted S-phase entry, lead to an increase in apoptosis and caused a reduction in the number of photoreceptors, bipolar, and ganglion cells. However, loss of RB1 was not sufficient to induce retinoblastoma formation in ROs.

486

487 LCA, Leber congenital amaurosis; CEP290, *centrosomal* protein 29; RP, retinitis pigmentosa;  
 488 VEGF, vascular endothelial growth factor; AIPL1, Aryl hydrocarbon receptor-interacting  
 489 protein-like 1; OS, outer segment; RO, retinal organoid; RPE, retinal pigment epithelium; RPC,  
 490 retinal progenitor cell; cGMP, Cyclic guanosine monophosphate; ONL, outer nuclear layer;  
 491 GPR161, G-protein-coupled receptor; OLM, outer limiting membrane; GFAP, Glial fibrillary  
 492 acidic protein; OPTN, optineurin; NEUROD6, neuronal differentiation 6; *PDE6B*,  
 493 Phosphodiesterase 6B; *IQCB1*, IQ Motif Containing B1; ERG, electroretinography; BM,  
 494 basement membrane; HCN, hyperpolarization-activated cyclic nucleotide-gated; DD,  
 495 differentiation day.

496

#### 497 *ROs and therapeutics*

#### 498 *Application to drug studies*

499 For drug studies, human RO technology promises to be a more realistic model of human  
 500 development and disease than animal and 2-dimensional cell culture models [104,105]. RO models  
 501 can be used to evaluate targeted therapy and drug toxicity in the pre-clinical drug development stage  
 502 [106]. In what follows, we discuss two examples of RO-based drug studies. First, a model of  
 503 advanced retinoblastoma tumor organoids (resembling retinal tumors and seeds) created by  
 504 Saengwimol et al. was used to assess cell cycle arrest in response to drugs and their genotoxic effects.  
 505 The model was used to compare the outcome of single drugs and their combinations. In the first step,  
 506 they evaluated whether the result of this model will be comparable to clinical outcomes. Drugs  
 507 commonly used in intravitreal chemotherapy, including melphalan, topotecan, and methotrexate were

508 used with various concentrations and 24-72(h) exposure times. Low dose melphalan (8 and 16 $\mu$ M)  
509 with prolonged exposure time showed a similar effect to the high dose of melphalan (32  $\mu$ M) with  
510 short exposure time, leading to induced S-phase arrest and reduced G2/M-phase. Topotecan (11 $\mu$ M)  
511 efficiently reduced the number of tumor cells in G0/G1 and G2/M phases. Methotrexate showed the  
512 least anticancer effectiveness. Methotrexate reduced the G0/G1-phase cells and increased cell death  
513 in the sub-G1 phase, however, S and G2/M phases were activated. The results were comparable to  
514 clinical results indicating the translatability of RO data. As topotecan and melphalan showed similar  
515 effects, one may ask whether their combination will be a desired therapeutic strategy. Therefore, the  
516 researchers took a second step to analyze targeted therapy and the genotoxicity of a combination of  
517 topotecan (11 $\mu$ M) and melphalan (16  $\mu$ M), which was challenging to perform in clinics. The  
518 combination not only had a profound influence on subretinal seeds or recurrent retinal tumors but  
519 also targeted proliferative tumor cones effectively [102]. However, a combination of melphalan and  
520 topotecan was more genotoxic than melphalan alone. This study thus shows the power of the RO  
521 model in addressing therapeutic challenges.

522 In another study, Ito et al. used miPSC-derived ROs as a model for reproducing photoreceptor  
523 degeneration treated by 4-hydroxytamoxifen (4-OHT) and diethylstilbestrol (DES) individually that  
524 induces acute cell death. Additionally, they established a fluorescent live-cell imaging system in  
525 order to evaluate their induced damage model. Those side effects were already confirmed in the  
526 mouse retinal explant experiment. Therefore, ROs can recapitulate the drug side effects. They also  
527 analyzed the protective effect of vitamin E (400  $\mu$ M) and Lutein (200 nM) as ophthalmic supplements  
528 for treating the photoreceptor degeneration. The result showed the superior efficacy of vitamin E  
529 compared to Lutein in suppressing oxidative and endoplasmic reticulum (ER) stress-related gene  
530 [107]. Overall, ROs have been used in several proof-of-concept drug studies with promising results.



531 Nevertheless, there are several shortcomings, such as the lack of vascularization and immune cells.  
532 Thus, current RO models cannot be used in drug studies such as screening of neuroprotective drug  
533 development for retinopathy, where incorporation of inflammatory cells is essential [105].

534

### 535 *Application to Transplantation therapy*

536 Pluripotent stem cells can be used to provide a potentially unlimited supply of retinal  
537 specific cell types. Retinal organoids derived from hESCs and hiPSCs can serve as a cellular  
538 source for transplantation purposes, either as a retinal cell suspension or an organized retinal  
539 sheet [108–111]. Most studies typically focus on the use of ROs as a source for rod and cone  
540 photoreceptors, but they have also been used as a source for retinal ganglion cells and Müller  
541 glial cells [62,109–113].

542 In 2016 a string of studies highlighted the need for reevaluation of previous  
543 photoreceptor donor transplantation studies due to cytoplasmic material transfer between donor  
544 and host cells [114–116]. These studies stressed that the propensity for transplanted donor  
545 photoreceptors to integrate and provide functionality was more limited than initially thought,  
546 with many of the observed functional benefits likely coming from the donor-host transfer of  
547 phototransduction proteins [117,118]. The material transfer represents a novel therapeutic  
548 strategy for the rescue of diseased retinal cells [76,119]. However, for the evaluation of current  
549 photoreceptor transplantation experiments, the use of late-stage disease models in which the  
550 majority of photoreceptor cells are lost may be preferable for the assessment of cell integration  
551 and functional rescue. Nonetheless, the cell transplantation approach adopted, either cell  
552 suspension or cell sheet, may reflect the disease stage needing to be treated. In milder  
553 degenerative stages, donor cell suspensions may be advantageous. This is due to the preexisting

554 architecture in which the donor cells can integrate into and support the remaining host  
555 photoreceptors. However, at later stages of degeneration, where few or no photoreceptors  
556 remain, retinal sheets may be more optimal at surviving and forming synaptic connections with  
557 the remaining inner retina.

558 Both photoreceptor cell suspensions and retinal sheets derived from ROs have been  
559 explored for the treatment of late-stage models of retinal disease in which nearly all host  
560 photoreceptors have already degenerated [62,108–110,120]. As an example, McLelland et al.  
561 used hESC-derived ROs as a source of healthy retinal tissue to rescue an immunodeficient late-  
562 stage retinal degenerative rat model. They found that the transplanted RO-derived retinal sheets  
563 went under differentiation and integration, leading to an improvement in visual function, despite  
564 the degenerative microenvironment. Analysis of the transplanted retinal sheets showed the  
565 presence of rod and cone photoreceptors with putative outer segments, bipolar cells, Müller glial  
566 cells, amacrine cells, and horizontal cells, which were all derived from the transplant. The  
567 presence of increased immunoreactivity for synaptophysin near the transplanted cells compared  
568 to areas further away from the transplant was suggestive of potential synaptic connectivity  
569 between the host and transplanted cells [109]. While the presence of transplanted matured  
570 photoreceptors with potential synaptic connectivity is extremely promising, the evaluation of  
571 tangible functional rescue in degenerative models is still limited. As an example, the  
572 immunodeficient rat model used both by McLelland et al. and Tu et al. were found to still have  
573 light-responsive patches at 10 months of age, making it difficult to conclusively discriminate  
574 graft-originated responses from the remaining host activity [109,120]. This highlights the need  
575 for careful consideration when choosing which degenerative models to use for such experiments  
576 and also highlights the need to confirm functionality using numerous approaches.

577 The CRISPR/Cas9, a technique has been used to correct mutations in patient iPSC lines  
578 leading to the amelioration, when differentiated, of the RO phenotype compared to ROs derived  
579 from the uncorrected patient line. This has been successfully studied on RO models of RP and  
580 XLRs.[94,98,101] Patient corrected stem cell could be utilized as a source for autologous cell  
581 transplantation for the treatment of retinal dystrophies. Lastly, during RO differentiation, there is  
582 a concomitant generation of RPE. Dissected RPE spheroids from ROs or RPE spheroids  
583 generated from the remaining cell culture after RO sorting can be used to generate RPE  
584 monolayers or could be dissected to form RPE sheets [121]. Transplantation of RPE is a  
585 promising strategy for treating advanced stages of eye disease such as RP, AMD, and Stargardt  
586 disease (STGD1) in which significant RPE loss is found [122–124].

587

### 588 **Application to Gene therapy**

589 Adeno-associated virus (AAV) is a small, nonenveloped, replication-deficient, single-  
590 stranded DNA parvovirus belonging to the genus Dependovirus. AAVs can be commandeered as  
591 a delivery vector for gene augmentation, currently the therapeutic strategy of choice for targeting  
592 hereditary retinal diseases [76,125]. Many studies have been performed using different  
593 promoter/serotype combinations to assess cell tropism and transduction efficiency of AAVs in  
594 ROs, with photoreceptors and radial glial progenitors/Müller glial cells being transduced  
595 [60,72,99,126–128]. Here, we provide two recent examples of AAV-mediated gene delivery in  
596 ROs.

597 Lane et al. used AAV2/5 to deliver the RP2 gene to photoreceptors in an organoid model  
598 of X-linked retinitis pigmentosa (XLRP). RP2 patient-derived ROs exhibit a spike in cell death  
599 in the ONL at differentiation day (DD)150, with a subsequent thinning of the ONL detectable at

600 DD180. The onset of cell death coincides with the timing of rod cell maturation and rhodopsin  
601 expression. In accordance with the thinning ONL, a reduction in the number of rhodopsin  
602 positive cells in the ONL was detected at DD180. After AAV2/5.CAGp.RP2 gene therapy, an  
603 amelioration of this degenerative phenotype was observed, as marked by a reduction in ONL  
604 thinning and restoration of rhodopsin expression.[99] This study highlights that the therapeutic  
605 potential of AAV-mediated delivery of RP2 should be further explored as a treatment for XLRP.

606 The implementation of AAVs to deliver larger retinal genes is impeded by their limited  
607 cargo capacity of approximately 5 kb of DNA. Recently, Tornabene et al. used split inteins to  
608 mediate protein trans-splicing in human ROs. They found that multiple, AAV delivered, split  
609 intein-flanked polypeptides could be reconstituted to form large full-length proteins in mouse,  
610 pig, and human photoreceptors. Importantly, they were able to develop AAV-*ABCA4* and AAV-  
611 *CEP290* intein vectors to improve the retinal phenotype found in STGD1 and LCA type 10  
612 (LCA10) mouse models, respectively. Additionally, they were able to find expression of *ABCA4*  
613 in STGD1 patient-derived ROs after administration of AAV2/2-GRK1-*ABCA4* intein vectors.

614 Patient-derived ROs are a promising alternative to animal models for the testing of AAV-  
615 mediated gene augmentation strategies. However, the variability in AAV transduction  
616 efficiencies in ROs needs to be addressed [60,72,99,126–128]. Variations in transduction  
617 efficiencies may be due to the methodology used to generate ROs, promoter choice, viral titre,  
618 vector tropism, transgene detection method, timepoint of viral vector administration to RO, and  
619 the number of days post-administration before RO collection and analysis.[99] Particularly, the  
620 developmental timepoint specific bioavailability of receptors for AAV uptake may be a critical  
621 consideration for efficient transduction of RO cell types and therefore AAV vector choice

622 [72,128]. Additionally, lentivirus is also capable of efficiently transducing photoreceptors in ROs  
623 and is an alternative delivery strategy to be further explored [85,106].

624

### 625 **Retina chips**

626 Numerous physiological processes and disease progressions in the retina involve the  
627 presence of cells and structures from multiple developmental origins. For instance, blood vessels  
628 and immune cells, originating from outside the retinal lineage, play a critical role in the onset and  
629 progression of diabetic retinopathy and the wet form of age-related macular degeneration (wet-  
630 AMD) [129]. Retinal organoids as previously described can reflect a majority of the cell types  
631 and architecture of the retina, but cannot faithfully recreate several important disease-relevant  
632 structures such as the inner and outer blood-retina barriers (iBRB and oBRB).

633 Organ chips are particularly well suited to model these tissue barriers since they enable a  
634 multilayered alignment of cells as well as a blood stream-like perfusion [130]. Thus, a prime  
635 application for retina-based chips is the modeling of the blood-retina-barriers (BRB), in  
636 particular, the outer BRB (oBRB), formed by retinal pigmented epithelial cells (RPE), and the  
637 adjacent choroidal microvascular network formed by microcapillary endothelial cells [131]. The  
638 simplest oBRB on-chip arrangement poses a two-channeled organ chip where RPE and  
639 endothelial cells are seeded on opposite sides of a porous membrane. Subsequent perfusion by a  
640 medium pump is then used to recreate a microvascular blood flow.

641 In 2017, Chen et al. applied this concept using the RPE cell line ARPE19 and human  
642 umbilical vein endothelial cells (HUVEC) [132]. The chip was used to study the migration of  
643 HUVEC cells into the RPE compartment in normal, hypoxic, and hypoglycemic conditions. In

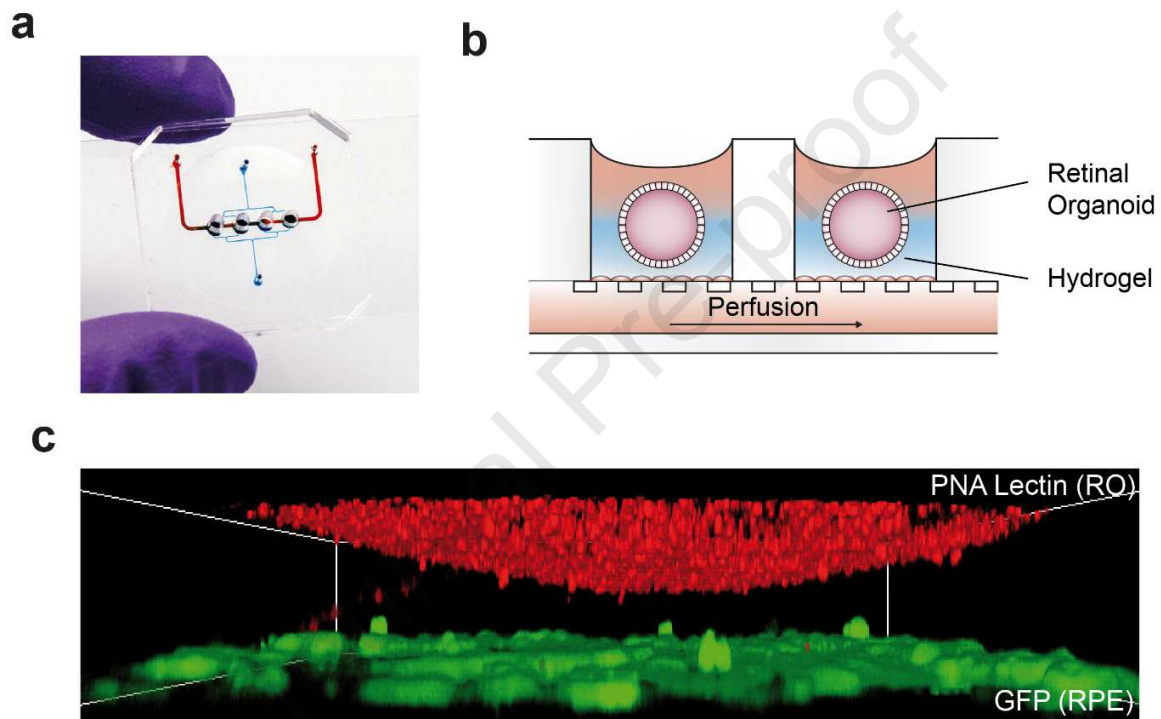
644 all conditions, a decline of RPE cell number was observed, whereas the HUVEC cells migrated  
645 through the membrane towards the RPE layer.

646 In the same year, Chung et al. presented a slightly different chip concept replacing the  
647 porous membrane by a fibrin hydrogel gap [133]. To mimic the three-dimensional choroidal  
648 vascular network, endothelial cells mixed with the fibrin gel were seeded in a channel below the  
649 fibrin gap. RPE (ARPE19) cells were seeded on the gel wall above in a separate chamber. Upon  
650 stimulation with the angiogenic growth factor VEGF, the choroidal endothelial cells infiltrated  
651 the fibrin gap and the RPE layer, recapitulating the pathogenic processes occurring in wet-AMD.  
652 Subsequent treatment with the anti-VEGF antibody bevacizumab, a widely used wet-AMD drug,  
653 could prevent the vessels spreading, emphasizing that blood-retina barrier chips can not only  
654 reproduce pathophysiological processes but also reproduce therapeutic approaches.

655 The next generation of chip systems was then aiming to not simply reproduce the outer  
656 barrier function of the retina but also to integrate the neural retina and the blood vessels barriers  
657 within the retina (iBRB). Following that idea, Yeste et al. used a multilayered chip design which  
658 was separated by several permeable membranes [134]. Besides the RPE (ARPE19) component,  
659 representing the oBRB and primary human retinal endothelial cells, representing the iBRB, they  
660 integrated a neuroblastoma cell line (SH-SY5Y), mimicking the neural part of the retina. Via the  
661 integration of a transepithelial electrical resistance measurement (TEER) system, they then  
662 validated the barrier functionalities of the iBRB and oBRB.

663 The retina chip introduced in 2019 by Achberger et al. aimed for modeling the oBRB  
664 together with the neural retina [135] (Fig. 4). By combining hiPSC-ROs with adherently cultured  
665 hiPSC-derived retinal pigment epithelium (RPE), they combined all major retinal cell types on  
666 one platform. The benefits of this chip were to have a sub-RPE choroidal-like perfusion through

667 the microfluidic channels of the chip, the recreate of the oBRB using iPSC-derived RPE and to  
 668 connect the photoreceptors (PRC) of the ROs with their direct neighbor cell type *in vivo*, the  
 669 RPE. This close PRC-RPE proximity led to an increase of photoreceptor outer segment number  
 670 after 7 days of co-culture as well as active phagocytosis of photoreceptor segments by the  
 671 hiPSC- RPE.



672  
 673 *Figure 4: The engineered retina chip model. (a) Image of the chip. Medium channels are colored*  
 674 *with dyes for visualization. (b) A schematic concept of the retina chip. (c) A 3d reconstruction of*  
 675 *a retinal organoid labeled by the photoreceptor surface marker PNA Lectin (red) and GFP-*  
 676 *labelled hiPSC-derived RPE cells in the retina chip. Adapted from Achberger et al., 2019, CC*  
 677 *BY 4.0 [135].*

678

679 **Application to Drug studies**

680 As retina chips are able to mimic physiological processes and barriers in the retina, they  
681 are of high interest for the preclinical evaluation of drug effects [135,136]. In a proof-of-  
682 principle study, the retina chip from Achberger et al. was supplemented with chloroquine (anti-  
683 malaria drug) and gentamicin (antibiotic drug), which have both a detrimental effect on the  
684 retina. The retinopathic and toxic side effects observed *in vivo* could be reproduced, shown by  
685 staining cell death by propidium iodide (PI) and the lysosomal marker LAMP2. High doses of  
686 chloroquine (80mg/ml) resulted in significant PI staining and an increase in LAMP2, reflecting  
687 the lysosomal dysfunction observed *in vivo* [137]. The toxicity of Gentamicin in the retina chip  
688 was dependent upon the presence of RPE. A decrease in toxicity was found in the presence of  
689 RPE indicating that these cells might act as a barrier that protects the retinal organoids from  
690 gentamicin toxicity.

691 Retina chips have also been used to develop and test intraocular tamponades. Silicone oil  
692 (SO) is commonly used as intraocular tamponade in different eye diseases such as retinal  
693 detachment and giant retinal tears [138,139]. Chan et al. analyzed the formation of SO droplets  
694 in a microfluidic chip designed with a retinal ganglion cell line to mimic the eye cavity [140]. In  
695 this study, the researchers could measure the size as well as the number of SO droplets and  
696 reproduced SO-aqueous interface.

697

#### 698 Application to cell (transplantation) therapy

699 The efficiency of therapies to replace dysfunctional photoreceptors by RPCs is highly  
700 based on the migration of cells collectively and in the correct direction [141].



701 Mirsha et al. used a microfluidic model of the retina to study the effect of human and mouse eye  
702 geometries on retinal cell migration [142]. Using computational models and experiments, they  
703 found that a concentration gradient of stromal cell-derived factor 1 (SDF-1) was formed and  
704 played as a force for chemotaxis; in the high concentration of SDF-1, cells migrated toward  
705 higher concentrations of it while in low concentrations they did not move. Thakur et al. studied  
706 the adhesive and displacement dynamics of RPCs to check if the biomaterial used in  
707 transplantation can enhance and coordinate cell migrations [143]. Using a  $\mu$ Lane, they found that  
708 cell-cell interactions are dominant when hyaluronic acid or laminin was used as a transplantation  
709 matrix while high cell-matrix interactions in fibronectin result in cell monolayer formation.  
710 These findings emphasize the importance of substrate nature in enhancing the efficiency of  
711 migration and consequently in the success of transplantation. The same concept was investigated  
712 further using *Drosophila melanogaster* as a model organism combined with microfluidics by  
713 Pena et al. [144]. A novel electro-chemotactic study of the migratory behavior of RPCs was  
714 conducted by Mishra et al.[145]. By fabricating a galvano-microfluidic system, they were able to  
715 establish tunable superimposed electric fields to study its effects on cellular motility.  
716 Interestingly, they found that by using a combination of electric field and SDF-1 concentration  
717 gradient, not only the migration distance can be increased about three times, but also the  
718 directionality will be enhanced. By performing bioinformatics analysis, it was found that the  
719 reason was the down-regulation of adhesion proteins simultaneously with the up-regulation of  
720 cytoskeletal regulatory proteins. These studies pave the way for developing more advanced RC  
721 models to simulate post-transplantation remodeling.

722

723 **Lens**

724 The initial steps for growing lens organoids or lentoid bodies (LBs) were made in the  
725 1970s [146]. Three decades later, Yang and colleagues were the first to derive lens progenitor  
726 cells and LBs from hESCs.[147] They deployed a three-stage culturing system via sequential  
727 inhibition and activation of FGF, TGF- $\beta$ , and Wnt signaling pathways. They could successfully  
728 culture a large number of LBs with this technique. Bone Morphogenetic Protein (BMP) signaling  
729 inhibition by noggin triggered cells towards neuroectodermal fate. Also, the role of FGF2 was  
730 found to be necessary for lentoid formation. Their model was limited in a few aspects. For  
731 example, terminal differentiation of the lens fibers was properly initiated but not completely  
732 executed, marked by the lower amounts of  $\beta$ - and  $\gamma$ -crystallins in LBs compared to the human  
733 lens but with comparable amounts in the initiation period. The LBs also tended to dissociate  
734 from their support from day 35 of culture. The use of 3D matrices containing laminin, collagen,  
735 and fibronectin, have allowed differentiation of LBs past day 35. Lacking light focusing ability  
736 was also one of the limitations of their model. Fu et al. used hiPSCs and induced them to lens  
737 progenitor cells and LBs by using a “fried egg” differentiation method. The cells in this method  
738 have a fried egg appearance at a time during differentiation, with the yolk serving as E-cadherin<sup>+</sup>  
739 differentiating cells (D-cells) which eventually form the LBs, and the egg white serving as E-  
740 cadherin<sup>-</sup> supporting cells (S cells) [148]. By using the same method of differentiation, they  
741 studied the autophagic activity in LBs derived from human iPSCs and ESCs. Their model can be  
742 used to investigate abnormalities in organelle degradation to form an organelle free zone (OFZ)  
743 during lens development [149]. Having an OFZ is crucial for the proper homeostasis of the lens,  
744 leading to cataract formation if disrupted. LBs have been successfully derived from cataract  
745 patient iPSCs [150]. The LBs can be used for studying various causes of cataracts, including  
746 congenital cataracts and age-related cataracts (ARC) [151,152]. For modeling ARC, it has been

747 found that LBs spontaneously become cloudy after a prolonged time in culture, which is  
748 accompanied by protein aggregation. The use of hydrogen peroxide accelerates this process. This  
749 model system may be a suitable ARC disease model for understanding the role of oxidative  
750 stress in cataractogenesis [152].

751 Murphy et al. developed an alternative differentiation methodology that generated LBs  
752 which contained minimal non-lens cells [153]. In contrast to the previous LB models, their  
753 model yielded more spherical mini-lenses with a biconvex appearance and with more light  
754 focusing ability. They could purify their cells by performing Magnetic-activated cell sorting  
755 (MACS) to select cells expressing receptor tyrosine kinase-like orphan receptor 1 (ROR1),  
756 acting as a potential lens epithelial cell (LEC) purification agent. Their system is ROR1<sup>+</sup> micro-  
757 lens system. They also suggested that their model can be used to study posterior capsule  
758 opacification (PCO), which is considered the most common complication of cataract surgery.  
759 Their model can effectively serve to understand the underlying mechanisms behind cataract risk  
760 factors, including age, diabetics, ultraviolet (UV) light, radiation, smoking, drug-induced,  
761 medications. The protein aggregates causing cataract or other particles causing light-scattering in  
762 the lens can be tested under high-throughput screening (HTS) conditions also for therapeutic  
763 targets. Most of the transcriptomic profile is expressed in LBs. Ali et al. compared the LBs  
764 derived from hESCs and peripheral blood mononuclear cell (pBMc)-originated hiPSCs in terms  
765 of their transcriptomic profile. They found that almost 70% of the total human protein-coding  
766 transcriptome is expressed in LBs. The hESCs and hiPSCs shared more than 96% in the  
767 similarity of transcriptome gene expression. However, they realized that the hiPSCs yield more  
768 differential gene expressions, including 416 down- and 291 up-regulated genes [154].

769

## 770 **Discussion and Conclusion**

771           Engineered organoids and organ chips will inevitably change the way we do *in vitro*  
772 eye research in the future. In particular, they provide us with the possibility to study  
773 individualized differences in disease manifestations [155]. Despite recent advances, there are  
774 some drawbacks and challenges that need to be addressed [156]. Technical variability is a major  
775 issue in organoid systems, as such standardized protocols are needed to avoid inconsistencies  
776 between results reported by different laboratories. Similarly, the use of well-characterized  
777 commercially available pluripotent stem cell lines would aid in the interpretation of results  
778 between labs. Lack of vasculature is preventing the study of vascular diseases like diabetes and  
779 high-risk corneal transplantation. Another important issue is the difficulty of modeling  
780 interactions between different eye tissues. Organ chips may help in this regard; however, this in  
781 turn comes with its own shortcomings [157]. Cornea and retina chips are powerful *in vitro* tools  
782 to study drug effects, therapeutic approaches, and disease-relevant questions, but the current  
783 chips, however, are still minimalistic. Future developments could make these chips even more  
784 complex, reflecting, and recreating an increasing number of functional and anatomical cues of  
785 the human eye, which may undergo critical changes in aging and disease[158][159].

786           We expect that future use of organoids and organ chips will lead to a surge of new  
787 research directions. Viral diseases and microbiome analysis are two areas where such approaches  
788 may impact ophthalmology. It is often hard to model human viral diseases in animals due to the  
789 specificities of virus-host interactions. Organ chips and organoids have recently been introduced  
790 to the field of virology [160]. Over the past decades, a major advance in medicine has been the  
791 recognition of the critical role of the microbiome in health and disease. The organ chip  
792 technology provides a way to study direct interaction between the microbiome and the different

793 kinds of tissues outside the human body. For example, the intestine chip model provided a  
794 discovery tool to study the diverse microbiome-related therapeutics [161]. The significance of  
795 the ocular microbiome for ocular surface diseases such as DED has recently been recognized  
796 [162]. In the future, ocular microbiome chip technology will expectedly emerge as a new concept  
797 and an interdisciplinary approach in the pathobiology and clinical management of ocular surface  
798 diseases and beyond [162].

799 Finally, the full potential of *in vitro* modeling will only be realized if relevant  
800 measurement approaches are developed to extract biochemical and physical data from the  
801 engineered models. Advances in omics technology, in particular, are crucial in this regard. For  
802 example, metabolomics has only recently been introduced to the field of ocular surface  
803 diseases[163]. Application of these techniques to the human eye and the engineered models  
804 require protocols that allow for handling small volumes and a small number of cells. Current  
805 research in analytical biosciences in parallel to the bio-inspired engineering methodology  
806 promises great potential for organoids and organ chips in clinical translational research.

807 Lastly, organoids and organ chip technologies will allow for the testing of new  
808 therapeutic paradigms. For example, precision metabolome reprogramming was recently  
809 highlighted as a promising non-gene-specific therapeutic avenue for inherited retinal dystrophies  
810 which would be of interest to explore in a complex retina chip format which also contained  
811 microglia in addition to RPE and retina.[164]

812

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817

### 818 **Disclosure/conflict of interest**

819 None

820

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824

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